INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In order to comply with discretionary regulations 37 CFR §§1.97 and 1.98, attached hereto is Form PTO-1449 and copies¹ of the documents listed thereon. These documents contain information that the Examiner may consider to be important in deciding whether to allow the present application to issue as a patent.

United States Patents

1. U.S. Patent Application, Serial No. 60/199,858 (Trapani et al.), filed April 26, 2000.

Foreign Documents

- 2. EP 0 969 389 A2, published January 5, 2000.
- 3. 2 339 347 A, published January 19, 2000.

¹To the extent that a document is listed and no copy of same is attached, then such document is not at the present time available to the undersigned or is available in the file of a parent application. If a listed document is not in the English language and an English translation is readily available, such translation is also attached; if translation is not attached it is not readily available to the undersigned. If a foreign language patent document is cited, and an English language equivalent is known to the undersigned, then such equivalent patent is also cited on the attached form along with the corresponding foreign language patent and a connecting arrow indicated therebetween; if no such English language equivalent is cited, then none is known to the undersigned.

- 4. WO 99/57657, published November 11, 1999.
- 5. EP 0 826 610 A1, published June 30, 1999.
- 6. 2 329 310 A, published March 17, 1999.
- 7. 714951 (abstract only), published August 18, 1998.
- 8. WO 98/33130, published July 30, 1998.
- 9. 2,278,194 (abstract only), published July 30, 1998.
- 10. EP 0 442 838, published August 21, 1991.

Article References:

- 11. R. Mohan, et al., "Adapting Multimedia Internet Content for Universal Access", International Business Machines Corporation T. J. Watson Research Center.
- 12. A. Luotonen, et al., "World-Wide Web Proxies", Computer Networks and ISDN Systems, vol. 2, no. 27, November 1994.
- 13. A. Fox, et al., "Reducing WWW Latency and Bandwidth Requirements by Real-Time Distillation", Computer Networks and ISDN Systems, vol. 11, no. 28, May 1996.
- 14. N. Schilit, et al., "TeleWeb: Loosely Connected Access to the World Wide Web", Computer Networks and ISDN Systems, vol. 11, no. 28, May 1996.
- 15. Tim Hyland, Unwired Planet, "Proposal for a Handheld Device Markup Language", www.w3.org/Submission/1997/5, submitted May 9, 1997, printed October 31, 2001.
- 16. J. R. Smith, et al. (1998), "Transcoding Internet Content for Heterogeneous Client Devices", IEEE International Conference on Circuits and Systems.
- 17. Chris Oakes, "HDML, Take Two", www.wired.com/news/news/ story/12173.html, published May 11, 1998.
- 18. Special Report, "IBM Transcoding Solution and Service: Extending the Reach and Exploiting the Value of Data", International Business Machines Corporation, 1999.
- 19. "Spyglass Solutions for Mobile Data Services", www.spyglass.com/industry/mdexpert/pr_wp.html, printed September 12, 2000.
- 20. "Microsoft Wireless Solutions: Making information more accessible, to more people, at anytime, through any device", Bell Mobility Wireless Data & Internet, www.bellmobility.ca/ps/ wiredat/overview/dev/white/mswirelesssolutions.asp, printed September 12, 2000.
- 21. "alphaWorks: LotusXSL", www.alphaworks.ibm.com/formula/LotusXSL, printed October 25, 2001.
- 22. "The Extensible Stylesheet Language (XSL)", www.w3.org/Style/XSL, printed October 25, 2001.
- 23. W3C: World Wide Web Consortium, www.w3.org, printed October 30, 2001.

24. VoiceXML Forum, www.voicesml.org, printed October 30, 2001.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document

cited (including any cited in applicant's specification which is not repeated on the attached Form

PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history

of the present application by initialing on Form PTO-1449. Such initialing is requested even if the

Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or

otherwise does not consider it to be prior art for any reason, or even if the Examiner does not

believe that the guidelines for citation have been fully complied with. This is requested so that each

document becomes listed on the face of the patent issuing on the present application.

The present Disclosure Statement is being submitted in compliance with 37 CFR 1.56

insofar as an Examiner might consider any of the cited documents important in deciding whether to

allow the application to issue as a patent, but the citation of each document is not to be construed as

an admission that such document is necessarily relevant or prior art. No representation is intended

that the cited documents represent the results of a complete search, and it is anticipated that the

Examiner, in the normal course of examination, will make an independent search and will

determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of each

search, will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,

Dated: November 8, 2001

Registration No. 49,002

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Facsimile: (312) 913-0002

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Form PTQ-149	U.S. Department of Commerce Patent and Trademark Office
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STATEM	ENT BY APPLICANT
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Atty. Docket No.

00-625-A

Serial No.

09/842,**AECEIVED**

JAN 0 4 2002

Technology Center 2100

Applicant: Leonid Polonsky, et al.

Filing Date: April 25, 2001 Group: 2152

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date
	1.	60/199,858		Trapani et al.			4/26/00

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Trans- lation Yes No
	2.	EP 0 969 389 A2	Jan. 5, 2000	Europe			
	3.	2 339 347 A	Jan. 19, 2000	Great Britain			
	4.	WO 99/57657	Nov. 11, 1999	PCT			
•	5.	EP 0 826 610 A1	June 30, 1999	Europe			
	6.	2 329 310 A	Mar. 17, 1999	Great Britain			
	7.	714951 (abstract only)	Aug. 18, 1998	Australia			
	8.	WO 98/33130	July 30, 1998	PCT			
	9.	2,278,194 (abstract only)	July 30, 1998	Canada			
	10.	EP 0 442 838	Aug. 21, 1991	Europe			

OTHER DOCUMENTS - Including Author, Title, Date, Pertinent Pages, Etc.

11.	R. Mohan, et al., "Adapting Multimedia Internet Content for Universal Access", International
	Business Machines Corporation T. J. Watson Research Center (35 pages).
 12.	A. Luotonen, et al., "World-Wide Web Proxies", Computer Networks and ISDN Systems, vol. 2,
	no. 27, November 1994 (8 pages).

Examiner	Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with any communication.

Form PTO 1945 U.S. Department of Commerce Patent and Trademark Office SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Atty. Docket No.	Serial No. RECEIVED 09/842,474 JAN 0 4 2002 Technology Center 210
	Applicant: Leonid Polonsky	, et al.
-	Filing Date: April 25, 2001	Group: 2152

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date

FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Trans- lation Yes No
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OTHER DOCUMENTS - Including Author, Title, Date, Pertinent Pages, Etc.

O1	HER DOCUMENTS - Including Author, Thie, Date, Tertificat Tages, Etc.
13.	A. Fox, et al., "Reducing WWW Latency and Bandwidth Requirements by Real-Time Distillation", Computer Networks and ISDN Systems, vol. 11, no. 28, May 1996 (12 pages).
14.	B. N. Schilit, et al., "TeleWeb: Loosely Connected Access to the World Wide Web", Computer Networks and ISDN Systems, vol. 11, no. 28, May 1996 (14 pages).
15.	Tim Hyland, Unwired Planet, "Proposal for a Handheld Device Markup Language", www.w3.org/Submission/1997/5, submitted May 9, 1997, printed October 31, 2001 (4 pages).
16.	J. R. Smith, et al. (1998), "Transcoding Internet Content for Heterogeneous Client Devices", IEEE International Conference on Circuits and Systems (4 pages).
17.	Chris Oakes, "HDML, Take Two", www.wired.com/news/news/story/12173.html, published May 11, 1998, (3 pages)
18.	Special Report (1999), "IBM Transcoding Solution and Service: Extending the Reach and Exploiting the Value of Data", International Business Machines Corporation (8 pages).
19.	"Spyglass Solutions for Mobile Data Services", www.spyglass.com/industry/mdexpert/pr_wp.html, printed September 12, 2000 (12 pages).

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Form PTO 499E U.S. Department of Commerce Patent and Trademark Office SUE LEMENTAL INFORMATION DISCLOSURE TATE DENT BY APPLICANT	Atty. Docket No.	Serial No. RECEIVED 09/842,474 JAN 0 4 2002 Technology Center 2100
	Applicant: Leonid Polonsky	, et al.
· ·	Filing Date: April 25, 2001	Group: 2152

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date

FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Trans- lation Yes No
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OTHER DOCUMENTS - Including Author, Title, Date, Fertilient Fages, Etc.				
20.	"Microsoft Wireless Solutions: Making information more accessible, to more people, at anytime, through any device", Bell Mobility – Wireless Data & Internet, www.bellmobility.ca/ps/wiredat/overview/dev/white/mswirelesssolutions.asp, printed September 12, 2000 (15 pages).			
21.	"alphaWorks: LotusXSL", www.alphaworks.ibm.com/formula/LotusXSL, printed October 25, 2001 (2 pages).			
22.	"The Extensible Stylesheet Language (XSL)", www.w3.org/Style/XSL, printed October 25, 2001 (3 pages).			
23.	W3C: World Wide Web Consortium, www.w3.org, printed October 30, 2001 (4 pages).			
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